

# Parametric solvable polynomial rings and applications

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## Overview

- Introduction
- Solvable Polynomial Rings
  - Parametric Solvable Polynomial Rings
  - Solvable Quotient and Residue Class Rings
  - Solvable Quotient Rings as Coefficient Rings
- Implementation of Solvable Polynomial Rings
  - Recursive Solvable Polynomial Rings
  - Solvable Quotient and Residue Class Rings
- Applications
- Conclusions



# Introduction

- solvable polynomial rings fit between commutative and free non-commutative polynomial rings
- share many properties with commutative case: being Noetherian, tractable by Gröbner bases
- free non-commutative case no more Noetherian, so eventually infinite ideals and non terminating computations
- though, solvable polynomials are not easy to compute either



# Introduction (cont.)

- problems have been explored mainly in theory
- solvable polynomials can share representations with commutative polynomials and reuse implementations, "only" multiplication to be done
- implementation is generic in the sense that various coefficient rings can be used in a strongly type safe way and still good performing code
- parametric coefficient rings with commutator relations between variables and coefficient variables new
- solvable quotient ring elements as coefficients new



# Related work (selected)

- enveloping fields of Lie algebras [Apel, Lassner]
- solvable polynomial rings [Kandri-Rodi, Weispfenning]
- free-noncommutative polynomial rings [Mora]
- parametric solvable polynomial rings and comprehensive Gröbner bases [Weispfenning, Kredel]
- PBW algebras in Singular / Plural [Levandovskyy]
- primary ideal decomposition [Gomez-Torrecillas]



# Solvable Polynomial Rings

Solvable polynomial ring S: associative Ring (S,0,1,+,-,\*), K a (skew) field, in n variables

 $S = \mathbf{K}\{X_1, \dots, X_n; Q; Q'\}$ 

commutator relations between variables,  $It(p_{ij}) < X_i X_j$ 

$$Q = \{X_j * X_i = c_{ij}X_iX_j + p_{ij} : 0 \neq c_{ij} \in \mathbf{K}, X_iX_j > p_{ij} \in S, 1 \le i < j \le n\}$$

commutator relations between variables and coefficients

$$Q' = \{X_i * a = c_{ai}aX_i + p_{ai} : 0 \neq c_{ai} \in \mathbf{K}, p_{ai} \in \mathbf{K}, 1 \le i \le n, a \in \mathbf{K}\}\$$

< a \*-compatible term order on S x S: a < b  $\Rightarrow$  a\*c < b\*c and c\*a < c\*b for a, b, c in S



### Parametric Solvable Polynomial Rings

 $S = \mathbf{R}[U_1, \dots, U_m]\{X_1, \dots, X_n; Q\}$ 

domain R, parameters U, variables X<sub>i</sub>, Q' empty

**Lemma 1 (7.1.2 in [12]).** Let **R** be a commutative Noetherian domain,  $m \in \mathbb{N}$ ,  $R = \mathbb{R}[U_1, \ldots, U_m]$ . Let  $S = R\{X_1, \ldots, X_n; Q\}$  be a parametric solvable polynomial ring as defined in Axioms 7.1.1 in [12] with respect to a \*-compatible term order <. Let C be the multiplicative subset of R generated by the  $c_{ij}$  from the commutator relations Q. Then for  $0 \neq f, g \in S$  one can compute  $0 \neq c \in C$  and  $p \in S$  with  $p < f \cdot g$  such that

$$f * g = c \cdot f \cdot g + p.$$

c and p are uniquely determined by these properties and the coefficients of p in R are polynomials in the  $c_{ij}$ , the coefficients of all  $p_{ij}$  from the commutator relations Q and of the coefficients of f, g. Furthermore these polynomials are formed uniformly, independently of the ring R.



### Solvable Polynomial Coefficient Rings

$$S = \mathbf{R}\{U_1, \dots, U_m; Q_u\}\{X_1, \dots, X_n; Q_x; Q'_{ux}\}$$

$$Q_u = \{U_j * U_i = c_{uij}U_iU_j + p_{uij}: 0 \neq c_{uij} \in \mathbf{R}, U_iU_j > p_{uij} \in R, 1 \le i < j \le m\}$$

$$Q_x = \{X_j * X_i = c_{xij}X_iX_j + p_{xij}: 0 \neq c_{xij} \in R, X_iX_j > p_{xij} \in S, 1 \le i < j \le n\}$$

$$Q'_{ux} = \{X_j * U_i = c_{ij}U_iX_j + p_{ij}: 0 \neq c_{ij} \in \mathbf{R}, U_iX_j > p_{ij} \in S, 1 \le i \le m, 1 \le j \le n\}$$

recursive solvable polynomial rings

$$S_k = \mathbf{R}\{X_1, \dots, X_k; Q_k\}\{X_{k+1}, \dots, X_n; Q_n; Q'_{kn}\}, \quad 0 \le k \le n$$



### Solvable Quotient and Residue Class Rings

- solvable quotient rings, skew fields  $\mathbf{R}(U_1, \ldots, U_m; Q_u)$
- solvable residue class rings modulo an ideal  $\mathbf{R}\{U_1, \dots, U_m; Q_u\}_{/\mathcal{I}}$
- solvable local ring, localized by an ideal  $\mathbf{R}\{U_1,\ldots,U_m;Q_u\}_{\mathcal{I}}$
- solvable quotient and residue class ring modulo an ideal, if ideal completly prime, then skew field  $\mathbf{R}(U_1, \dots, U_m; Q_u)_{/\mathcal{I}}$



# Ore condition

- for a, b in R there exist
  - c, d in R with  $c^*a = d^*b$  left Ore condition
  - c', d' in R with a\*c' = b\*d' right Ore condition
- Theorem: Noetherian rings satify the Ore condition
   left / left and right / right
- can be computed by left respectively right syzygy computations in R [6]
- Theorem: domains with Ore condition can be embedded in a skew field
- a/b \* c/d :=: (f\*c)/(e\*b) where e,f with e\*a = f\*d



#### Solvable Quotient and Residue Class Rings as coefficients

$$S = \mathbf{R}(U_1, \ldots, U_m; Q_u) \{X_1, \ldots, X_n; Q_x, Q'_{ux}\}$$

**Lemma 2.** Assume  $c_{ij} = 1$  in  $Q'_{ux}$ . Let  $x^e * d = dx^e + p$ ,  $dx^e > p \in S$ , then

$$x^{e} * \frac{1}{d} = \frac{1}{d}(x^{e} - (p * \frac{1}{d})).$$

Proof. The identity can be derived under the assumption that all  $c_{ij} = 1$  in  $Q'_{ux}$ , as follows. If not all  $c_{ij} = 1$  with some more care a corresponding factor c as product of  $c_{ij}$ 's can be established. Let  $z = x^e$ , then from  $z * \frac{1}{d} * d = z$  and p = z \* d - dz together with the assumption  $z * \frac{1}{d} = \frac{1}{d}z + q$  for some q, it follows  $(\frac{1}{d}z + q) * d = z$  and  $\frac{1}{d}(dz + p) + q * d = z$ . Multiplying out, we get  $\frac{1}{d}d * z + \frac{1}{d}p + q * d = z$  and so  $\frac{1}{d}p + q * d = 0$  must hold. Multiplying with  $\frac{1}{d}$  from right, we have  $\frac{1}{d}p * \frac{1}{d} + q = 0$  and so  $q = -\frac{1}{d}p * \frac{1}{d}$ . With this, we get  $z * \frac{1}{d} = \frac{1}{d}z - \frac{1}{d}p * \frac{1}{d} = \frac{1}{d}(z - p * \frac{1}{d})$ . Since by assumption p < z the claim follows by induction as finally  $p \in R$  and the multiplication can be carried out in R.  $\Box$ 



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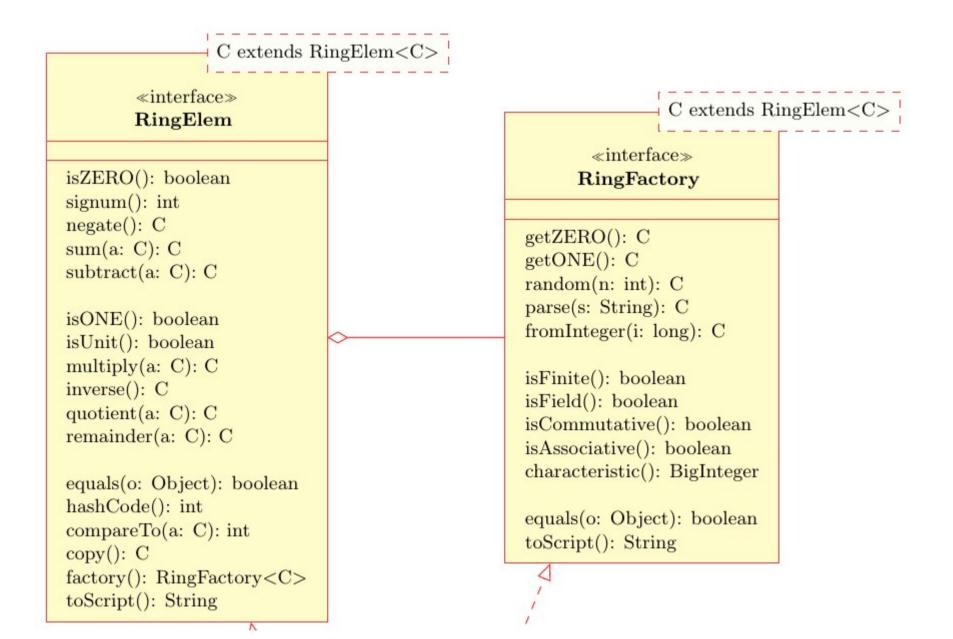


# Implementation of Solvable Polynomial Rings

- Java Algebra System (JAS)
- generic type parameters : RingElem<C>
- type safe, interoperable, object oriented
- has greatest common divisors, squarefree decomposition factorization and Gröbner bases
- scriptable with JRuby, Jython and interactive
- parallel multi-core and distributed cluster algorithms
- with Java from Android to Compute Clusters

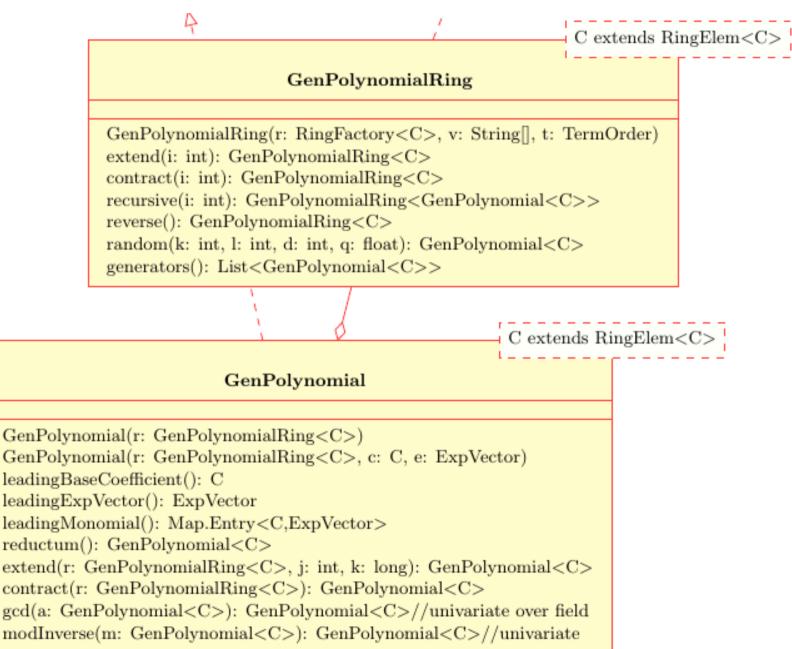


# **Ring Interfaces**



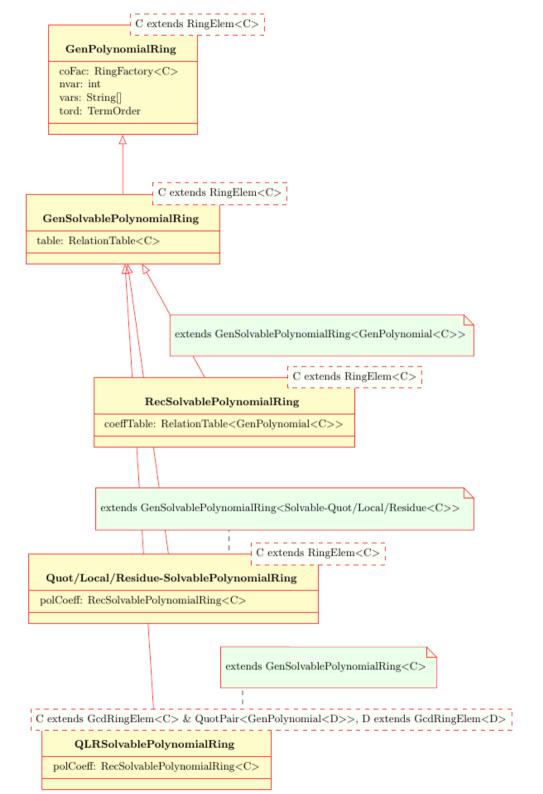
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# **Generic Polynomial Rings**





Solvable Polynomial Ring Overview



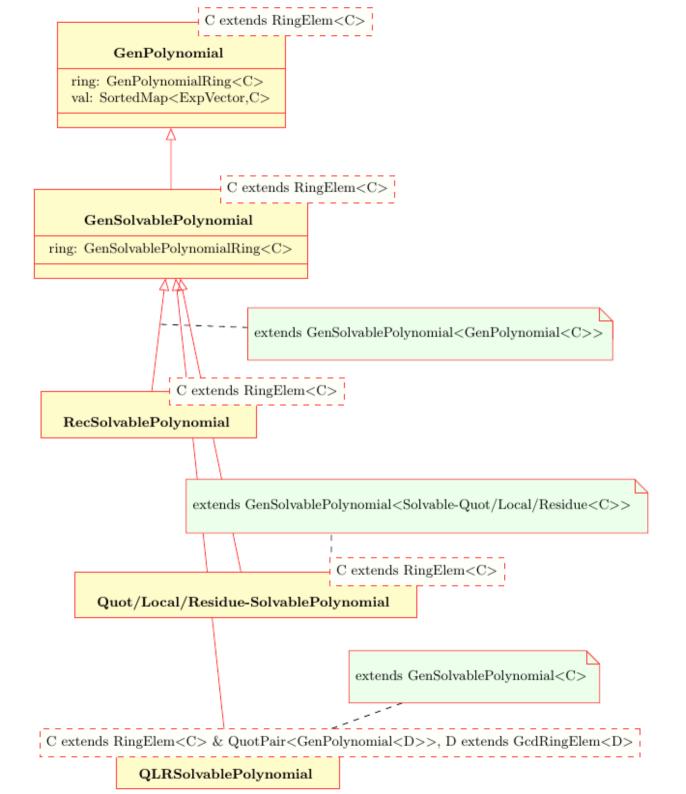


# Polynomial ring implementation

- commutative polynomial ring
  - coefficient ring factory
  - number of variables
  - name of variables
  - term order
- solvable polynomial ring
  - relation table
  - commutator relations:  $X_i * X_i = c_{ii} X_i X_i + p_{ii}$
  - missing relations treated as commutative
  - relations for powers are stored for lookup



Solvable Polynomial Overview





# Recursive solvable polynomial ring

- implemented in RecSolvablePolynomial and RecSolvablePolynomialRing
- extends GenSolvablePolynomial<GenPolynomial<C>>
- new relation table coeffTable for relations from Q'<sub>ux</sub>, with type RelationTable<GenPolynomial<C>>
- recording of powers of relations for lookup instead of recomputation
- new method rightRecursivePolynomial() with coefficients on the right side



# recursive \*-multiplication 1.loop over terms of first polynomial:

 $a x^e = a' u^{e'} x^e$ 

2.loop over terms of second polynomial:

$$b x^{f} = b' u^{f'} x^{f}$$

3.compute (a x<sup>e</sup>) \* (b x<sup>f</sup>) as a \* ((x<sup>e</sup> \* b) \* x<sup>f</sup>) (a) x<sup>e</sup> \* b = p<sub>eb</sub>, iterate lookup of x<sub>i</sub> \* u<sub>j</sub> in Q'<sub>ux</sub> (b) p<sub>eb</sub> \* x<sup>f</sup> = p<sub>ebf</sub>, iterate lookup of x<sub>j</sub> \* x<sub>i</sub> in Q<sub>x</sub> (c) a \* p<sub>ebf</sub> = p<sub>aebf</sub>, in recursive coefficient ring lookup u<sub>j</sub> \* u<sub>i</sub> in Q<sub>u</sub>

4.sum up the  $p_{aebf}$ 



## Solvable Quotient and Residue Rings

- 1.the solvable quotient ring, R(U<sub>1</sub>, ..., U<sub>m</sub>; Q<sub>u</sub>), is implemented by classes SolvableQuotient and SolvableQuotientRing, implements RingElem<.<C>>
- 2.the solvable residue class ring modulo I,  $R\{U_1, \ldots, U_m; Q_u\}_{/l}$ , is implemented by classes SolvableResidue and SolvableResidueRing
- 3.the solvable local ring, localized by ideal I,  $R\{U_1, \ldots, U_m; Q_u\}_i$ , is implemented by classes SolvableLocal and SolvableLocalRing
- 4.the solvable quotient and residue class ring modulo I,  $R(U_1, \ldots, U_m; Q_u)_{/l}$ , is implemented by classes SolvableLocalResidue and SolvableLocalResidueRing



# Implementation of + and \*

- Ore condition in SolvableSyzygy
  - leftOreCond() and rightOreCond()
- simplification difficult
  - reduction to lower terms
  - leftSimplifier() after [7] using module
     Gröbner bases of syzygies of quotients
  - require common divisor computation
    - not unique in solvable polynomial rings
  - package edu.jas.fd
- very high complexity and (intermediate) expression swell, only small examples feasible



# with solvable quotient coefficients

- reuse recursive solvable polynomial multiplication with polCoeff ring internally
- extend multiplication to quotients or residues
- class QLRSolvablePolynomial, QLRSolvablePolynomialRing
- abstract quotient structure, additional to ring element, QuotPair and QuotPairFactory
- conversion
  - fromPolyCoefficients()
  - toPolyCoefficients()



# \*-multiplication with 1/d

- recursion base, denominator = 1: x<sup>e</sup> \* n/1. It computes x<sup>e</sup> \* n from the recursive solvable polynomial ring polCoeff, looking up x<sup>e</sup> \* n in Q'<sub>ux</sub>, and then converting the result to a polynomial with quotient coefficients
- recursion base, denominator != 1: x<sup>e</sup> \* 1/d. Let p be computed by x<sup>e</sup> \* d = d x<sup>e</sup> + p then compute x<sup>e</sup> \* 1/d as 1/d (x<sup>e</sup> (p \* 1/d)) by lemma 2. Since p < x<sup>e</sup>, p \* 1/d uses recursion on a polynomial with smaller head term, so the algorithm will terminate
- numerator != 1: let  $p_{xed} = x^e * 1/d$  and compute  $p_{xed} * n/1$  by recursion



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  - comprehensive Gröbner bases
  - left, right and two-sided Gröbner bases
  - examples
  - extensions to free non-commutative coefficient rings
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# Applications (1)

- Comprehensive Gröbner bases commutative  $S = \mathbf{R}[U_1, \dots, U_m][X_1, \dots, X_n]$ solvable  $\mathbf{R}[U_1, \dots, U_m]\{X_1, \dots, X_n, Q\}$ 
  - silght modification of commutative algorithm works for solvable case: use multiplyLeft()
- also commutative transcendental field extension coefficients works
- fraction free coefficients by taking primitive parts work



# Solvable Gröbner bases

«interface» SolvableGroebnerBase

isLeftGB(F: List<GenSolvablePolynomial<C>>): boolean isRightGB(F: List<GenSolvablePolynomial<C>>): boolean isTwosidedGB(F: List<GenSolvablePolynomial<C>>): boolean leftGB(F: List<GenSolvablePolynomial<C>>): List<GenSolvablePolynomial<C>> rightGB(F: List<GenSolvablePolynomial<C>>): List<GenSolvablePolynomial<C>> twosidedGB(F: List<GenSolvablePolynomial<C>>): List<GenSolvablePolynomial<C>> extLeftGB(F: List<GenSolvablePolynomial<C>>): List<GenSolvablePolynomial<C>> minimalLeftGB(G: List<GenSolvablePolynomial<C>>): List<GenSolvablePolynomial<C>>

 $\label{eq:solvableGroebnerBaseAbstract} C extends RingElem <C > SolvableGroebnerBaseAbstract(red: SolvableReduction <C >, pl: PairList <C >) is*GB(F: List <GenSolvablePolynomial <C >>): boolean is*GB(modv: int, F: List <GenSolvablePolynomial <C >>): boolean *GB(F: List <GenSolvablePolynomial <C >>): boolean *GB(F: List <GenSolvablePolynomial <C >>): List <GenSolvablePolynomial <C >>):$ 

#### 44

C extends RingElem<C>

C extends RingElem<C>

#### SolvableGroebnerBaseSeq

 $\label{eq:solvableGroebnerBaseSeq(red: SolvableReduction<C>, pl: PairList<C>) \\ leftGB(modv: int, F: List<GenSolvablePolynomial<C>>): List<GenSolvablePolynomial<C>>) \\ twosidedGB(modv: int, F: List<GenSolvablePolynomial<C>>): \\ \end{tabular}$ 

 ${\rm List}{<}{\rm GenSolvablePolynomial}{<}{\rm C}{>}{>}$ 



# Applications (2)

- applications with solvable quotient coefficient
  - verify multiplication by coefficients is correct, so existing algorithms can be reused
  - gives left, right and two-sided Gröbner bases
    - for two-sided case more right multiplications with coefficent generators required
  - gives also left and right syzygies
  - same for left, right and two-sided module
     Gröbner bases
- recursive solvable polynomials with pseudo reduction using Ore condition to adjust coefficient multipliers



# Examples (1)

 $\mathbb{Q}(x, y, z, t; Q_x)_{/\mathcal{I}}\{r; Q_r\}$ 

 $Q_x = \{z * y = yz + x, t * y = yt + y, t * z = zt - z\}$   $Q_r = \emptyset$ 

 $\mathcal{I} = (t^{2} + z^{2} + y^{2} + x^{2} + 1)$  pcz = PolyRing.new(QQ(), "x, y, z, t") zrel = [z, y, (y \* z + x), t, y, (y \* t + y), t, z, (z \* t - z)] pz = SolvPolyRing.new(QQ(), "x, y, z, t", PolyRing.lex, zrel) ff = pz.ideal("", [t\*2 + z\*2 + y\*2 + x\*2 + 1]) ff = ff.twosidedGB()



# Examples (2)

construction: SLR(ideal, numerator, denominator)

f0 = SLR(ff, t + x + y + 1)f1 = SLR(ff, z\*\*2+x+1)

 $f2 = f1*f0: z^{**2} * t + x * t + t + y * z^{**2} + x * z^{**2}$  $+ z^{**2} + 2 * x * z + x * y + y + x^{**2} + 2 * x + 1$ 

**fi = 1/f1:** 1 / ( z\*\*2 + x + 1 )

fi\*f1 = f1\*fi: 1

**f0\*fi:** ( x\*\*2 \* z \* t\*\*2 + ... ) / ( ... + 23 \* x + 7 )

( 2 \* t \* \* 2 + 7 ) / ( 2 \* t + 7 ) want x, y, z simplified to 0



# Examples (3)

```
pt = SolvPolyRing.new(f0.ring, "r", PolyRing.lex)
```

```
fr = r^{*} + 1
iil = pt.ideal( "", [ fr ] )
rgll = iil.twosidedGB()
SolvIdeal.new(...,[( r**2 + 1 )])
e = fr.evaluate(t)
e: 0
fp = (r-t)
                      frp = fp^*(r+t)
                      frp: ( r**2 - t**2 )
fr / fp: (r+t)
fr % fp: 0
                      frp-fr: 0
                      frp == fr: true
```



# Examples (4)

 $\mathbb{Q}(x, y, z, t; Q_x)_{/\mathcal{I}}(r; Q_r)_{/(r^2+1)}$ 

```
rf = SLR(rgll, r)
```

```
rf**2 + 1: 0
```

```
ft = SLR(rgll, t)
```

```
ft**2 + 1: 0
(rf-ft)*(rf+ft): 0
```



# Extension to free non-commutative polynomial coefficients

Free non-commutative generic polynomial ring K<x,y,z>

implementation in classes GenWordPolynomial and GenWordPolynomialRing

r = WordPolyRing.new(QQ(),"x,y"); one,x,y = r.gens();

```
f1 = x*y - 1/10;
f2 = y*x + x + y;
ff = r.ideal( "", [f1,f2] ); gg = ff.GB();
```

WordPolyIdeal.new(WordPolyRing.new(QQ(),"x,y"),"", [( y + x + 1/10 ), ( x\*x + 1/10 \* x + 1/10 )])

integro-differential Weyl algebra :

 $\mathbf{K} \langle \ell, \partial \rangle_{/(\partial \ell = 1)} \{ x; Q \}, \quad Q = \{ x * \partial = \partial x - 1, \ x * \ell = \ell x + \ell^2 \}$ 



# Conclusions

- presented parametric solvable polynomial rings, with definition of commutator relations between polynomial variables and coefficient variables
- enables the computation in recursive solvable polynomial rings
- possible to construct and compute in localizations with respect to two-sided ideals in such rings
- using these as coefficient rings of solvable polynomial rings makes computations of roots, common divisors and ideal constructions over skew fields feasible



# Conclusions (cont.)

- algorithms implemented in JAS in a type-safe, object oriented way with generic coefficients
- the high complexity of the solvable multiplication and the lack of efficient simplifiers to reduce (intermediate) expression swell hinder practical computations
- this will eventually be improved in future work



# Thank you for your attention

- Questions ?
- Comments ?
- http://krum.rz.uni-mannheim.de/jas/
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